

PATENT

-67321/JAS/SFC #3
HAL-114

CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Attention: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, DC 20231 on April 7, 2000

Signed: 
Laura Moser

SECTOR ~~7~~

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
SRIVASTAVA et al.

Serial No.: **09/470,444**

Filing Date: **December 22, 1999**

Title: **Patient Rule Induction Method on Large Disk Resident Data Sets and Parallelization Thereof**



Examiner: **To be assigned**

Group: **To be assigned**

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

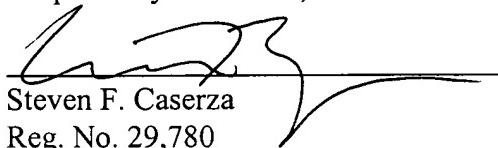
In response to the Notice to File Missing Parts of Application mailed February 8, 2000, transmitted herewith are:

- an executed Declaration for Patent Application;
- an executed Power of Attorney by Assignee;
- an executed Assignment with Recordation Cover Sheet;
- a check in the amount of \$170.00 which includes the surcharge fee for large entity responding to the Notice of Missing Parts and a recordal fee of \$40.00 for Assignment;
- carbon copy of the Notice to File Missing Parts of Application, form PTO-1533 and
- our prepaid return postcard.

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 06-1300 (Account No. A-67321/SFC). A Duplicate copy is enclosed for such purpose.

Respectfully submitted,

Date: 4/7/00


Steven F. Caserza
Reg. No. 29,780

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP
Four Embarcadero Center, Suite 3400
San Francisco, CA 94111-4187
Telephone: (650) 494-8700 / Facsimile: (650) 494-8771
Serial No.: 09/470,444
1008028

A-67321



4812000

Missing Parts

UNITED STATES DEPARTM. / OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231*[Signature]*

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

09/470,444

12/22/99

SRIVASTAVA

A-67321

0242/0208

FLHR HOHBACH TEST ALBRITTON AND HERBERT
FOUR EMBARCADERO CENTER
SUITE 3400
SAN FRANCISCO CA 94111-4187

2786

DATE MAILED:

02/08/00

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
 small entity (statement filed) **non-small entity** is \$ 130

1. The statutory basic filing fee is:

- missing.
 insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing or unsigned.
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

130.00

00000104 094704

TM881